

RECORD GROUP COPY

LIST OF FINDING AIDS AVAILABLE IN
WAR CRIMES UNIT (GMS)
TO ASSIST IN SERVICING RECORDS OF THE JAPANESE WAR CRIMES TRIALS
CONDUCTED BEFORE THE INTERNATIONAL MILITARY TRIBUNAL FOR THE FAR EAST
IN TOKYO

*Location of records
Federal Records Center, AGO, D,
King & Union Sts,
Alexandria,
Virginia
just outside Wash., D.C.*

August 1951

LIST OF FINDING AIDS AVAILABLE IN
WAR CRIMES UNIT ~~SECRET~~
TO ASSIST IN SERVICING RECORDS OF THE JAPANESE WAR CRIMES TRIALS
CONDUCTED BEFORE THE INTERNATIONAL MILITARY TRIBUNAL FOR THE FAR EAST
IN TOKYO

*(At DA, AGO, Fed. Res.
Ctr., Alex., Va.
8/53)*

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BASIC INFORMATION TO ASSIST IN SEARCHING

1. On 7 December 1941, and subsequently thereto, the Government of Japan through its military forces, instituted a war of aggression in violation of International Law, Treaties, Agreements and Assurances, against the following nations: The United States of America, The Republic of China, The United Kingdom of Great Britain and Northern Ireland, The Union of Soviet Socialist Republics, The Commonwealth of Australia, Canada, The Republic of France, The Kingdom of the Netherlands, New Zealand, India and the Commonwealth of the Philippines. The Potsdam Declaration of 26 July 1945, which was signed by the United States of America, The Republic of China and the United Kingdom of Great Britain and Northern Ireland, the provisions of which were subsequently accepted by the Japanese Government in its Instrument of Surrender of 2 September 1945, conferred authority on General Douglas MacArthur as the Supreme Commander of the Allied Powers (by the Moscow Conference Agreement of 26 December 1945) to bring to trial and mete out justice to the war criminals responsible, this to implement the terms of surrender. On 19 January 1946 General MacArthur issued a special proclamation establishing an International Military Tribunal for the Far East. On 26 April 1946, General Order Number 20 indicated the number of members the Tribunal was to have, jurisdictional and procedural matters, particularly that the technical rules of evidence were not to bind (a factor which went a long way to insure a fair trial to the Accused, but greatly increased the bulk of the record and length of the trials). Further general orders dealt with the personnel and functionings of the Tribunal.

2. The Prosecuting nations were the 11 nations against whom Japan began its war of aggression, as above shown. The Tribunal of 10 Judges tried twenty-eight (28) leading political and/or military figures (later reduced to twenty-five (25) when two died during the trial and one was declared incompetent to stand trial). The Indictment was lodged with the Tribunal 29 April 1946; the Judgment ended 11 November 1948. The defendants were:

Araki, Sadao
Dohihara, Kenju
Hashimoto, Kingoro
Hata, Shunroku
Hiranuma, Kiichiro
Hirota, Koki
Hoshino, Naoki
Itagaki, Seichiro
Kaya, Okinori
Kido, Koichi
Kimura, Heitaro

Koiso, Kuniak
Matsui, Iwane
*Matsuoka, Yosuke
Minami, Jiro
Mito, Akira
*Nagano, Osami
Oka, Takasumi
**Okawa, Shumei
Oshima, Hiroshi
Sato, Kenryo
Shigemitsu, Mamoru

* Died during trial

** Declared incompetent

Shimada, Shigataro
Shiratori, Toshio
Suzuki, Teichi

Togo, Shigenori
Tojo, Hideki
Umezu, Yoshijiuro

3. The Prosecution presented its case by "Phases," as follows, each phase representing a particular aspect or area of interest:

- Phase 1 - Japanese Constitution and government structure
- Phase 2 - Preparing Japanese opinion for war
- Phase 3 - Manchurian military aggression
- Phase 4 - Military aggression in the rest of China
- Phase 5 - Atrocities in connection with military aggression in China, and opium and narcotics in China
- Phase 6 - Economic aggression in Manchuria and China
- Phase 7 - Relations with Germany and Italy
- Phase 8 - Relations with France and Thailand
- Phase 9 - Relations with the Union of Soviet Socialist Republics (USSR)
- Phase 10 - Preparation for war; naval and military fortifications; finance and production
- Phase 11 - Relations with the United States of America, the United Kingdom and the British Commonwealth
- Phase 12 - Relations with the Netherlands and Portugal
- Phase 13 - Class "C" Offenses*
- Phase 14 - Class "B" Offenses**
- Phase 15 - The Accused. (Under this phase, the Prosecution Presented its case against each of the accused)

* Class "C" Offenses were crimes against humanity viz. murder, extermination, enslavement, deportation, and other inhumane acts committed before or during the war, persecution on political or racial grounds in execution of or in connection with any crime within the jurisdiction of the Tribunal, whether or not in violation of the domestic law of the country where perpetrated.

**Class "B" offenses were conventional war crimes—violations of the laws or customs of war.

[Class "A" offenses were crimes against peace — "the planning, preparation, initiation, or waging of a declared or undeclared war of aggression, or a war in violation of international law, treaties, agreements, or assurances,— or participation in a common plan or conspiracy for the accomplishment of the foregoing." (All of the defendants at the Tokyo Trial were regarded as principal defendants, and were therefore charged with Class "A" offenses, which are reflected in Phases 2, 3, 4, 6, 7 and 10 above)].

4. The Defense presented its case by "Divisions," as follows, each division representing a particular aspect or area of interest:

- Division 1 - General Problems
- Division 2 - Manchuria and Manchukuo
- Division 3 - China
- Division 4 - USSR
- Division 5 - Pacific War
- Division 6 - Individual Defensees

Note to the Searcher:

1. If the request is a "subject" request or a "geographic" request, determine which phases would be likely to produce documents of interest.
2. If the request is for a specific document or a specific individual, consult the appropriate index on the list of indexes (Pages 3, 4, 5, of this assembly). - - - Other aids will be found in the Supervisor's "Operations Guide," Tabs 7, 8, 9, 10, 11, 14, 16, 18, and 20; this Guide is in the War Crimes Office File.
3. Whereas the duration of the trials was from 29 April 1946 to 11 November 1948, the range of background (and other) material among the records ranges from 1928 to 1945, with scattered material beyond these date limits.

INDEXES TO RECORDS OF THE JAPANESE WAR CRIMES TRIALS

The indexes to the records of the Japanese War Crimes Trials may be grouped as follows: (1) Indexes to the Record, (2) Indexes to International Prosecution Section (IPS) Documents, and (3) Indexes to Case Files and Miscellaneous. The finding aids comprising each of these major groups are listed below.

GROUP I - Indexes to the Record*

1. Index of Exhibits
2. Index of Witnesses (Prosecution)
3. Index of Witnesses (Defense)
4. Official Corrections to the Court Record
5. General Index of the Record of the Prosecution's Case, pp 1-16997 (This is IPS Doc. No. 0005).
6. General Index of the Record of the Defense's Case through the Tri-Partite Pact Section of the Pacific Phase, pp 16998-24759. (This is IPS Doc. No. 0008.)

These indexes, except when otherwise indicated, are on file in the War Crimes Unit Office File.

7. Narrative Summary of the Record
8. Chronological Summary: Events in the Prosecution's Case, by Date (This is IPS Doc. No 0001.)
9. Decisions of Imperial Conferences, Cabinet Meetings, and other Conferences and Meetings which appear in the Prosecution's Evidence (This is IPS Doc. No. 0004.)
10. Rulings of the Tribunal (This is IPS Doc. No. 0006.)
11. Docket and Chronology of Proceedings and Pleadings
- **12. Motions applicable to Court Orders
13. Record of Proceedings in Chambers
- **14. Sender-Receiver File (A card index listing the names of persons who sent, received, or were otherwise cognizant of communications, orders, etc., which bear Court Exhibit Numbers.)
- **15. Index of Rejected Documents
16. Name Index of Prosecution Summation
17. Name of Index of Defense Summation

GROUP II - Indexes to International Prosecution Section (IPS) Documents*

1. Analyses of Documentary Evidence
2. Numerical list of IPS Documents introduced as Court Exhibits (This list provides the "Exhibit Number" of those IPS Documents which were used in evidence.)
3. Numerical List of Evidentiary Documents
- **4. Card Index to IPS Documents, by subject (This index is based upon the Analyses of Documentary Evidence.)
5. Chart of Japanese Cabinet Ministers, 1927-45 (This chart is based, principally, upon Exhibit No. 102.)
6. Chart of Personnel occupying key Army and Navy Posts, 1927-41 (like 5 above, based principally upon Exhibit No. 102.)
7. Index to Documents, by phase and subject
8. Index to Documents not specifically linked to one or more of the Defendants
9. Revised Index of Documents, by defendants
- **10. Chronologically Index of Documents relating to Defendants
- **11. Master List of Documents, by Document No., Shipping Advice and Project No.
- **12. Accession Lists
13. Index to the SAIONJI-HARADA Memoirs (A description of these memoirs prepared in War Crimes Unit, GMDs.)
14. List of Diaries

* These indexes, except when otherwise indicated, are on file in the War Crimes Unit Office File.

**Items marked with a double asterisk have not as yet been placed in the War Crimes Unit Office File

GROUP III - Indexes to Case Files and Miscellaneous*

- **1. Numerical Card Index to IPS Documents, by Exhibit Number
- **2. List of Documents returned to Source (This is a bound file of memoranda.)
3. Background Material Files and Documents, with descriptive entries
4. List of Basic Documents (This list comprises, for the most part, the first 100 exhibits.)
5. List of Documents on the subject "Relations between Japan and the USA, and between Japan and Great Britain. Part I."
6. List of Documents on the subject "Relations between Japan and the USA, and between Japan and Great Britain. Part II."
7. List of Indexes and Working Tools in the Custody of the IPS (This list was prepared in War Crimes Unit.)
8. List of Case Files, alphabetically arranged (This list was prepared in War Crimes Unit.)
9. List of Case Files, numerically arranged (This list was prepared in War Crimes Unit.)
10. List of Interrogations on file in GMS as of 18 January 1951; also card index thereto (Both were prepared in War Crimes Unit.)
11. The International Military Tribunal for the Far East. (This is a chronology from 29 April 1946 to 13 June 1946.)
12. American and British Phase; Summary of Proof.
13. Index to the Chief Prosecutors File
14. Index to the Historical Material of the IPS Staff

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